



## **DEPARTMENT OF TRANSPORTATION**

### **Federal Railroad Administration**

**[Docket No. FRA-2021-0006-N-4]**

#### **Proposed Agency Information Collection Activities; Comment Request**

**AGENCY:** Federal Railroad Administration (FRA), U.S. Department of Transportation (DOT).

**ACTION:** Notice of information collection; request for comment.

**SUMMARY:** Under the Paperwork Reduction Act of 1995 (PRA) and its implementing regulations, FRA seeks approval of the Information Collection Request (ICR) abstracted below. Before submitting this ICR to the Office of Management and Budget (OMB) for approval, FRA is soliciting public comment on specific aspects of the activities identified in the ICR.

**DATES:** Interested persons are invited to submit comments on or before **[INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER]**.

**ADDRESSES:** Written comments and recommendations for the proposed ICR should be submitted on [regulations.gov](https://www.regulations.gov) to the docket, Docket No. FRA 2021-0006. All comments received will be posted without change to the docket, including any personal information provided. Please refer to the assigned OMB control number in any correspondence submitted. FRA will summarize comments received in response to this notice in a subsequent notice and include them in its information collection submission to OMB for approval.

**FOR FURTHER INFORMATION CONTACT:** Ms. Hodan Wells, Information Collection Clearance Officer, at email: [hodan.wells@dot.gov](mailto:hodan.wells@dot.gov) or telephone: (202) 493-

0440.

**SUPPLEMENTARY INFORMATION:** The PRA, 44 U.S.C. 3501–3520, and its implementing regulations, 5 CFR part 1320, require Federal agencies to provide 60-days’ notice to the public to allow comment on information collection activities before seeking OMB approval of the activities. *See* 44 U.S.C. 3506, 3507; 5 CFR 1320.8 through 1320.12. Specifically, FRA invites interested parties to comment on the following ICR regarding: (1) whether the information collection activities are necessary for FRA to properly execute its functions, including whether the activities will have practical utility; (2) the accuracy of FRA’s estimates of the burden of the information collection activities, including the validity of the methodology and assumptions used to determine the estimates; (3) ways for FRA to enhance the quality, utility, and clarity of the information being collected; and (4) ways for FRA to minimize the burden of information collection activities on the public, including the use of automated collection techniques or other forms of information technology. *See* 44 U.S.C. 3506(c)(2)(A); 5 CFR 1320.8(d)(1).

FRA believes that soliciting public comment may reduce the administrative and paperwork burdens associated with the collection of information that Federal regulations mandate. In summary, FRA reasons that comments received will advance three objectives: (1) reduce reporting burdens; (2) organize information collection requirements in a “user-friendly” format to improve the use of such information; and (3) accurately assess the resources expended to retrieve and produce information requested. *See* 44 U.S.C. 3501.

The summary below describes the ICR that FRA will submit for OMB clearance as the PRA requires:

*Title:* Inspection and Maintenance of Steam Locomotives.<sup>1</sup>

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<sup>1</sup> Previously titled “Inspection and Maintenance of Steam Locomotives (Formerly Steam Locomotive Inspection).”

*OMB Control Number:* 2130-0505.

*Abstract:* The Boiler Inspection Act of 1911 required each railroad subject to the Act to file copies of its rules and instructions for the inspection of locomotives. The original Act was expanded to cover all steam locomotives and tenders, and all their parts and appurtenances. As amended, this Act requires carriers to make inspections and to repair defects to ensure the safe operation of steam locomotives. Currently, the collection of information is used primarily by tourist or historic railroads and by locomotive owners/operators to provide a record for each day a steam locomotive is placed in service, as well as a record that the required steam locomotive inspections are completed. The collection of information is also used by FRA and State rail safety inspectors to verify that necessary safety inspections and tests have been completed and to ensure that steam locomotives are indeed “safe and suitable” for service and are properly operated and maintained.

*Type of Request:* Extension without change (with changes in estimates) of a currently approved collection.

*Affected Public:* Businesses.

*Form(s):* FRA-1, FRA-2, FRA-3, FRA-4, FRA-5, and FRA-19.

*Respondent Universe:* 82 steam locomotive owners/operators.

*Frequency of Submission:* On occasion; annually.

*Reporting Burden:*

CFR Section <sup>2</sup>	Respondent Universe	Total Annual Responses	Average Time per Response	Total Annual Burden Hours	Total Cost Equivalent <sup>3</sup>
230.6—Waivers	82 steam owners and operators	1 waiver letter	1 hour	1.00 hour	\$77.47
230.12—Conditions for movement—Non-complying locomotives	82 steam owners and operators	10 tags	6 minutes	1.00 hour	\$58.40
230.14(b)—31 Service Day Inspection—FRA notification	82 steam owners and operators	360 notifications	5 minutes	30.00 hours	\$2,324.10
—(c) 31 Service Day Inspection—Filing inspection reports—Form 1	82 steam owners and operators	360 reports	20 minutes	120.00 hours	\$9,296.40
230.15—92 Service Day Inspection—Filing inspection report—Form 1	82 steam owners and operators	120 reports	20 minutes	40.00 hours	\$3,098.80
230.16(b)—Annual inspection—FRA notification	82 steam owners and operators	120 notifications	5 minutes	10.00 hours	\$774.70
—(c) Filing inspection report—Form 3	82 steam owners and operators	120 reports	30 minutes	60.00 hours	\$4,648.20
230.17—1,472 Service Day Inspection—Form 4	82 steam owners and operators	12 forms	30 minutes	6.00 hours	\$464.82
230.20—Alteration Reports—Boilers—Form 19	82 steam owners and operators	5 reports	1 hour	5.00 hours	\$387.35
230.21—Steam Locomotive Number Change	82 steam owners and operators	1 document	2 minutes	0.03 hour	\$2.32
230.33—Welded Repairs/Alterations	82 steam owners and operators	5 letters	2 hours	10.00 hours	\$774.70
—Written Request to FRA for Approval—Unstayed surfaces	82 steam owners and operators	3 letters	2 hours	6.00 hours	\$464.82
230.34—Riveted Repairs/Alterations	82 steam owners and operators	2 requests	2 hours	4.00 hours	\$309.88

<sup>2</sup> The current inventory exhibits a total burden of 18,865 hours while the total burden of this notice is 1,357 hours. FRA determined some of the estimates were not derived from PRA requirements, thus leading to the increased figures in the current inventory, which were decreased accordingly in this notice. Also, FRA made a few textual edits and corrections on Forms 2 and 5.

<sup>3</sup> The dollar equivalent cost is derived from the Surface Transportation Board's 2019 Full Year Wage A&B data series using the appropriate employee group hourly wage rate that includes a 75-percent overhead charge.

230.49—Setting of Safety Relief Valves	82 steam owners and operators	5 metal tags	1 hour	5.00 hours	\$292.00
230.96—Main, Side, and Valve Motion Rods	82 steam owners and operators	1 letter	2 hours	2.00 hours	\$154.94
230.13—Daily Inspection Reports—Form 2	82 steam owners and operators	3,650 reports	10 minutes	608.33 hours	\$47,127.33
230.17—1,472 Service Day Inspection—Form 3	82 steam owners and operators	12 reports	15 minutes	3.00 hours	\$232.41
230.18—Service Day Report: Form 5 <sup>4</sup>	82 steam owners and operators	150 reports	15 minutes	37.50 hours	\$2,905.13
230.19—Posting of Copy—Form 1 & 3	82 steam owners and operators	4,320 copies of forms	5 minutes	360.00 hours	\$27,889.20
230.41—Flexible Stay Bolts with Caps	82 steam owners and operators	20 entries	2 minutes	0.67 hour	\$39.13
230.46—Badge Plates	82 steam owners and operators	3 metal stampings	2 hours	6.00 hours	\$350.40
230.47—Boiler Number	82 steam owners and operators	1 metal stamping	1 hour	1.00 hour	\$58.40
230.75—Stenciling Dates of Tests and Cleaning	82 steam owners and operators	50 stencils	30 minutes	25.00 hours	\$1,460.00
230.98—Driving, Trailing, and Engine Truck Axles—Journal Diameter Stamped	82 steam owners and operators	1 metal stamping	15 minutes	0.25 hour	\$14.60
230.116—Oil Tanks	82 steam owners and operators	30 stencils	30 minutes	15.00 hours	\$876.00
Total <sup>5</sup>	82 steam owners and operators	9,362 responses	N/A	1,357 hours	\$104,082

*Total Estimated Annual Responses: 9,362.*

*Total Estimated Annual Burden: 1,357 hours.*

*Total Estimated Annual Burden Hour Dollar Cost Equivalent: \$104,082.*

Under 44 U.S.C. 3507(a) and 5 CFR 1320.5(b) and 1320.8(b)(3)(vi), FRA

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<sup>4</sup> FRA made a minor text edit.

<sup>5</sup> Totals may not add due to rounding.

informs all interested parties that a respondent is not required to respond to, conduct, or sponsor a collection of information that does not display a currently valid OMB control number.

**Authority:** 44 U.S.C. 3501-3520.

**Brett A. Jortland,**

*Acting Chief Counsel.*

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